

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS



Rhode Island Underground Utility Contractors Licensing Law

**TITLE 5
CHAPTER 65.3**

DEPARTMENT OF ADMINISTRATION

CONTRACTORS' REGISTRATION AND LICENSING BOARD

One Capitol Hill Providence, Rhode Island 02908-5859

(401) 222-1268

FAX No. (401) 222-1940

WEB SITE: www.crlb.ri.gov

UNDERGROUND UTILITY CONTRACTORS

5-65.3-1. Purpose. The purpose of this chapter is to establish provisions, qualifications and procedures for licensing underground utility contractors engaged in the laying or repairing of underground utilities.

5-65.3-2. Definitions. When used in this chapter:

- (1) "Board" means the contractors registration and licensing board as defined in § 5-65-1.
- (2) "Underground utility" means the installation, repair, alteration, or replacement of underground utilities, sewer lines, storm drainage lines or water lines, water service and laterals, laying drains and related services outside of buildings, making connections with public and/or private underground utilities provided that such work is to be done between the main, lateral, related services and/or appurtenances to within five feet (5') of the outer wall of a building or structure and specifically excluding gas and telecommunications work and any work defined in § 28-27-28.
- (3) "Underground utility contractor" means any corporation, association, sole proprietorship, firm, partnership, limited liability corporation, limited liability partnership, or other business organization that is engaged in the installation, repair, alteration, or replacement of underground utilities, outside of buildings, making connections with public and/or private underground utilities provided that such work is to be done between the main, laterals, services and/or appurtenances to within five feet (5') of the outer walls of a building or structure. The term "underground utility contractor" shall include any agent, servant, and/or employee of a licensed underground utility contractor. The term "underground utility contractor" does not include individuals licensed under chapter 20 of this title entitled "Plumbers and Irrigators."

5-65.3-3. License required.

- (a) It is unlawful for any underground utility contractor to engage in the installation, repair, alteration, or replacement of an underground utility as defined in this chapter without a valid license issued by the board.
- (b) Any person licensed as a master plumber under the provisions of chapter 20 of this title or as a master pipefitter under the provisions of chapter 27 of title 28 shall be exempt from the requirement to obtain both an underground utility contractor's license as required by chapter 65.3 of this title and an installer's license, as required by chapter 56 of this title provided that such master plumber or master pipefitter shall be required to comply with the provisions of §§ 5-65.2-3 and 5-56-7.
- (c) Any public utility, or agent thereof, regulated by the public utilities commission or the division of public utilities and carriers shall be exempt from the provisions of this chapter.

5-65.3-4. Conditions for obtaining an underground utility contractor's license.

(a) To obtain a license under chapter 65.3 of this title, an underground utility contractor must submit to the contractors' registration and licensing board an application for an underground utility contractor's license completed in its entirety, together with a fee of two hundred dollars (\$200). The application must also comply with the following requirements:

(1) The applicant must have demonstrated to the board that the underground utility contractor is capable of installing, repairing, alternating, or replacing sewer lines, storm drainage lines or waterlines, and services in accordance with approved plans and specifications.

(2) The applicant must obtain a passing grade on a written examination provided by a third party approved by the board, intended to demonstrate an understanding of the rules and regulations, and ability to read and interpret approved plans and specifications. Any applicant who fails an examination for a license is permitted to take further examinations upon payment of the prescribed fee for each examination. The board shall assure that these services are provided and conduct examinations at least three (3) times each year. All examinations shall be conducted at places designated by the board.

(3) The board shall approve or deny applications for an underground utility contractor's license as soon after the examination as is practicable. Each applicant who has successfully passed the examination and has had an application approved for a license as an underground utility contractor shall have issued and mailed to them, by the board, a certificate of license specifying that applicant is an underground utility contractor.

(4) Any contractor licensed in accordance with this chapter shall not be required to obtain another license or to take any other test by any other municipality in order to perform underground utility work.

5-65.3-5. Expiration and renewal of licenses.

All licenses of underground utility contractors issued by the board expire every two (2) years on the anniversary date of the license's issuance and may be renewed on or before that date for a period of two (2) years upon payment of a two hundred dollar (\$200) fee.

5-65.3-6. Duties of the contractor's registration and licensing board.

The contractors' registration and licensing board shall:

(1) Prepare, provide, and conduct examinations in conjunction with a third-party provider;

(2) Prepare license certificates and issue them in conformity with this chapter and the rules and regulations;

(3) Maintain an up-to-date record specifying the names and addresses of licensed underground utility contractors of this state. Such record shall include the dates of issuance of licenses and shall be open to public inspection; and

(4) Maintain an up-to-date record of any complaints filed with the board, the nature of those complaints, dates of complaints, and any final orders made based on the complaints.

5-65.3-7. Licensing of underground utility contractors granted without examination.

(a) After enactment of this chapter, and at any time prior to the expiration of six (6) months following enactment of this section, the board shall, without examination, upon payment of the fees required in this chapter and approval of the issuance of the license by the board, issue a license to any applicant who shall present satisfactory evidence as described in subsection (b) of this section that the applicant has the qualification for issuance of an underground utility contractor license. Thereafter, in order to qualify for an underground utility contractor license after the initial “grandfather” window, said licensee may renew the license pursuant to § 5-65.3-5.

(b) The owner(s) of a business entity as defined in § 5-65.3-2(3) shall meet the requirements of this section for issuance of a license without examination, provided, that any city or town that has previously granted a contractor or licensee a license within the last five (5) years shall continue to grant such license for work specifically related to the license and where work is to be performed in that city or town, provided the contractor continues to meet all other local requirements for licensure, and shall not require the contractor or licensee to take and pass the statewide licensing examination.

5-65.3-8. Responsibilities, performance and conduct.

A licensed underground utility contractor shall perform all work in compliance with the plans and specifications approved by the local municipality or other appropriate authority having jurisdiction over the utility and/or work to be performed.

5-65.3-9. Insurance required of underground utility contractors.—

(a) Throughout the period of licensure, the contractor shall have in effect public liability and property damage insurance covering the work of that contractor that shall be subject to this chapter in not less than the following amount: one million dollars (\$1,000,000) combined single limit, bodily injury and property damage.

(b) In addition, all contractors shall be in compliance with workers' compensation insurance as required under chapter 29 of title 28. Failure to maintain insurance shall not preclude claims from being filed against a contractor.

(c) The contractor shall provide satisfactory evidence to the board at the time of license and renewal that the insurance required by subsections (a) and (b) of this section has been procured and is in effect. Failure to maintain insurance shall invalidate registration and may result in a fine to the licensee.

5-65.3-10. Bond requirement.

Every applicant for a certificate of license as an underground utility contractor to carry on the business of installing, repairing or servicing, altering or replacing sewer lines, storm drainage lines or water lines and related services in accordance with this chapter, shall furnish a bond, satisfactory to the board, in the sum of twenty thousand dollars (\$20,000), conditioned substantially that the applicant indemnifies and holds harmless the several cities and towns of this state and their officers from all suits and actions of every name and description brought against any of those cities, towns, and state or any of their officers for, or on account of, any

injuries or damages received or sustained by any person in consequence of, or resulting from, any work performed by the applicant or its employees, servants, or agents; or of or from any improper materials used in the work; or of or from any negligence in guarding the work; or of or from any act or omission of the applicant, or its employees, servants, or agents.

5-65.3-11. License not transferable or assignable.

Underground utility contractor's licenses are not transferable or assignable and automatically become invalid upon a change of ownership or upon suspension or revocation of a license.

5-65.3-12. Denial, suspension and revocation of licenses.

(a) The board may suspend or revoke the license of any underground utility contractor after a hearing when the weight of the evidence establishes any one or more of the following specific violations:

(1) Obtaining or conspiring with others to obtain a license by inducing the issuance of that license in consideration of the payment of money or any other thing of value, or by and through a willful or fraudulent misrepresentation of facts in the procurement of a license;

(2) Gross negligence or incompetence in the performance of any licensed work in accordance with any underground utility ordinance, or rules of any city or town, or any law of this state regulating the conduct of sewer drain and water work;

(3) Willfully and fraudulently loaning its license to any other underground utility contractor for the purpose of permitting that underground utility contractor to engage in any underground utility work in violation of the provision of this chapter;

(4) Willfully violating any of the provisions of this chapter; and

(5) For any violation to § 5-65-10.

(b) When an application for a license is denied, a hearing shall be granted provided the aggrieved applicant requests a hearing, in writing, within ten (10) days of the denial with the board.

5-65.3-13. Procedure for revocation of license.

(a) No proceeding to revoke a license, as provided in this section, shall be instituted unless filed with the board within one year immediately following the date or dates of violation.

(b) No license of an underground utility contractor shall be revoked or cancelled until after a full and impartial hearing is completed as provided in this section.

(c) No hearing for the purpose of revoking any license of an underground utility contractor shall be held unless there is first placed on file with the board a verified complaint, in writing, reciting in the complaint, with reasonable particularity, a statement of facts that, if proved, would be

sufficient to constitute a violation of one or more of the specifications for revocation of license as prescribed in this chapter.

(d) Upon the filing of a verified complaint as provided in this section, the board shall promptly set a date for a hearing of the charges of the complaint. The board shall promptly, by registered or certified mail, forward to the licensee charged in the complaint a true and correct copy of the complaint and notification of the time and place when and where a hearing of those charges is to be held.

(e) At the time and place fixed in the notification, the board shall proceed to a hearing of the charges specified in the complaint. No hearing upon the charges of the complaint shall be held unless the records of the board contain evidence that the licensee charged in the complaint has been served with a copy of the complaint and notification at least twenty (20) days prior to the date of the hearing; provided, that the appearance of the charged licensee, either on its own behalf or by counsel, constitutes proof that sufficient notice of the hearing was served.

(f) A complaining party, individually or by counsel, may aid in the presentation of evidence toward sustaining the complaint. Ample opportunity shall be accorded for a hearing of all evidence and statement of counsel either in support of or against the charges of the complaint. Upon good cause shown, the date of the hearing on the complaint may be continued provided the licensee and other interested parties are reasonably notified about the date of continuance.

5-65.3-14. Subpoena of witness.

The board shall have the power to subpoena and bring before it any witness to take testimony, either orally or by deposition, or both, in any proceeding authorized by law.

5-65.3-15. Administration of oaths.

The board shall have the power to administer oaths to witnesses at a hearing, which the board is authorized by law to conduct, and any other oaths authorized or administered by the board.

5-65.3-16. Recommendations of board - Order - Rehearing - Review.

Any applicant or licensee aggrieved by any decision or ruling of the board may appeal that decision to the board which shall review the decision or ruling. Upon review, the board shall order that the license of the licensee charged in the complaint be revoked or the complaint shall be dismissed. A copy of the order shall be immediately served upon the licensee either personally or by registered or certified mail. The order of the board is final unless the charged licensee, the division or complainant, within twenty (20) days after receipt of that order, files his or her motion, in writing, for a review of the order specifying in the motion the points and reasons for the order. The filing of a motion for a review stays the operation of the order pending final decision on that motion. A motion for a review shall be immediately scheduled for a hearing. The decision review shall be issued, in writing, to the licensee.

5-65.3-17. Penalties for violations.

Violations of any provision of this chapter shall be subject to the penalties as follows: any corporation, association, sole proprietorship, firm partnership, limited liability corporation, limited liability partnership or other business organization licensed by the board shall be subject

to a fine up to five thousand dollars (\$5,000) for the first offense and up to ten thousand dollars (\$10,000) for the second and subsequent violations of this chapter.

5-65-18. Enforcement.

It shall be the duty of the board to enforce the provisions of this chapter. The appropriate local municipality authority, involved in the day-to-day inspection of sewer or drain installations, shall assist the board in enforcing the provision of this chapter. The appropriate local municipality shall have the authority to demand the production of the licenses required by this chapter on any site where underground utility contractor work is being installed and have the authority to investigate, and where appropriate, make complaints, pursuant to § 5-65.3-12, where sufficient evidence has been obtained to sustain a reasonable belief that a violation of this chapter has occurred.

5-65.3-19. Prosecution of violations and penalties.

It is the duty of the board to fine any corporation, association, individual proprietorship, firm, partnership, limited liability corporation, limited liability partnership, or other business organization violating any of the provisions of this chapter, upon complaint being made, whether brought pursuant to §§ 5-65.3-12 and/or 5-65-18.

5-65.3-20. Injunctions.

Violations of this chapter may be enjoined upon a complaint being filed in the superior court for the county in which those violations have been committed, by the board or by any appropriate local municipal authority. An injunction may be granted by the superior court after a hearing in open court against any corporation, association, individual proprietorship, firm, partnership, limited liability corporation, limited liability partnership, or other business organization that has violated any of the provision of this chapter without regard to whether proceedings have been, or may be, instituted before the board or whether criminal proceedings have been or may be instituted. No ex parte restraining orders shall be issued in suits brought pursuant to this section.

5-65.3-21. Investigation and prosecution of violations.

The board shall act as an investigator with respect to the enforcement of all sections of this statute; shall act as an investigator with respect to the enforcement of all provisions of laws relative to the licensing of underground utility contractors; and to this effect whenever a complaint is made to the board, or its designee, that provisions of this chapter are being violated, the board may issue an order to cease and desist from that violation and may impose penalties against the violator and against the contractors.

5-65.3-22. Judicial review of proceedings.

Any further appeal from the actions of the board is in accordance with the provisions of the administrative procedures act, chapter 35 of title 42.

5-65.3-23. Licensing authority.

The board shall carry out the functions and duties conferred upon it by this chapter. The licensing authority shall adopt standards, rule and regulations, pursuant to chapter 35 of title 42,

for the administration of the licensing program established under this chapter and related activities.

5-65.3-24. Municipal inspectors and installation permits.

Nothing contained in this chapter prohibits any city or town from requiring permits for the installation, maintenance, and repair of underground utility systems and collecting fees for the installation and repair of an underground utility system.

5-65.3-25. Severability.

If any provision or part of this chapter, or its application to any entity or circumstances is held unconstitutional or otherwise invalid, the remaining provision of this chapter and the application of the provisions to the other entities or circumstances other than those to which it is held invalid shall not be affected by the invalidity.

Conditions for Underground Utilities License

- Completed Pre-Application (no fee for those who are grandfathered). Designated license number will be assigned upon completing this form.
- Grandfather clause is good from 1/1/15 to 06/30/15 and must show proof of license held for last 5 years from city or town in Rhode Island.
- Completed Application.
- Affidavit signed acknowledging general laws and regulations.
- \$20,000.00 Bond made out to State of Rhode Island Contractors Registration and Licensing Board pursuant to RIGL 5-65.3-10.
- \$1,000,000.00 Insurance policy single limit public liability and property damage pursuant to RIGL 5-65.3-9. Contractors Board must be listed as the holder of certificate.
- Workers Compensation Insurance if employees.
- Verification of filings with Secretary of State's office.
- \$200.00 Fee for two years and \$10.00 fee for picture ID card.
- Heavy duty equipment operators license from DLT pursuant to 5-65.3-4 (a) (1).
- Proof of passing grade on written examination provided by third party testing company PSI pursuant to 5-65.3-4 (a) (2).
- A conditional certificate of license will be provided upon meeting all qualifications and when the rules and regulations are promulgated by the Board a picture identification card will be issued. Licensee will be required to return to Board for picture and issuance of new card.

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ALL PRE-LICENSE APPLICATIONS EXPIRE 180 DAYS FROM DATE OF SUBMITTAL

Date: _____ Application No. _____
(OFFICE USE ONLY)

"GRANDFATHER" LICENSE HOLDER YES (NO FEE) NO (FEE)
Please attach past city or town issued license.

ALL PRE-LICENSE APPLICATIONS REQUIRE A NON-REUNDABLE FEE OF \$50.00 AT TIME OF SUBMITTAL

**FEEES MAY BE PAID BY CHECK, MONEY ORDER (PAYABLE TO THE C.R.L.B.) OR BY DEBIT/ CREDIT CARDS
(CARDS CAN ONLY BE USED AT OFFICE)**

Check Types of Licenses Applying For:

- Commercial Roofer
- Underground Utility Installers
- Home Inspector
- Associate Home Inspector
- Well Driller
- Well Driller/ Pump Installer
- Pump Installer

Name: _____

Company Name: _____

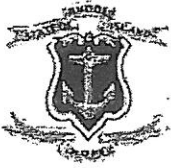
Address: _____
(P.O. BOXES NOT ACCEPTABLE)

Driver's License State: _____ No. _____ Date of Birth _____

Home Phone Number _____ Business Phone Number _____ Cell Phone Number _____

EMAIL _____

Print Name _____ Signature _____



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UNDERGROUND UTILITY CONTRACTOR AFFIDAVIT

I, _____ (print name), being duly sworn, hereby depose and state that I have read and will abide by the following provisions, understanding that the foregoing highlights significant aspects of the Underground Utility Contractors' Licensing Act (R.I.G.L. § 5-65.3-1 *et seq.*) and relevant provisions of the Contractors' Registration Act (R.I.G.L. § 5-65-1 *et seq.*), but does not represent the law in its entirety.

1. Throughout the period of licensing, the underground utility contractor shall carry a twenty thousand dollar (\$20,000) bond conditioned substantially that the applicant indemnifies and holds harmless the several cities and towns of this state and their officers from all suits and actions of every name and description brought against any of those cities, towns, and state or any of their officers for, or on account of, any injuries or damages received or sustained by any person in consequence of, or resulting from, any work performed by the applicant or its employees, servants, or agents; or of or from any improper materials used in the work; or of or from any negligence in guarding the work; or of or from any act or omission of the applicant, or its employees, servants, or agents. *See* R.I.G.L. § 5-65.3-10. Failure to maintain such a bond will result in revocation/suspension of license, fines, or both.
2. Throughout the period of licensing, the underground utility contractor shall have in effect public liability and property damage insurance covering his/her work in an amount not less than one million dollars (\$1,000,000.00) combined single limit, bodily injury, and property damage. *See* R.I.G.L. § 5-65.3-9(a). Failure to maintain such insurance will invalidate license and may result in fines.
3. All work performed under an underground utility contractor's license shall be in compliance with the plans and specifications approved by the local municipality or other appropriate authority having jurisdiction over the utility and/or work to be performed. *See* R.I.G.L. § 5-65.3-8.
4. The Contractors' Board may revoke, suspend, refuse to reissue or reinstate an underground utility contractor's license for the reasons set forth in R.I.G.L. § 5-65-10, including, but not limited to: failure to be properly licensed; failure to maintain insurance; licensee engaged in dishonest or fraudulent conduct injurious to the public; licensee violated a rule or order of the board; licensee knowingly assisted an unlicensed person to act in violation of the statute; licensee violated state building codes; licensee misused license; licensee performed work or arranged to perform work with a suspended, invalidated, or revoked license; licensee breached a contract; licensee misrepresented license status; licensee hired an unlicensed/unregistered contractor, etc.
5. All contracts exceeding \$1,000.00 in value must be in writing (R.I.G.L. § 5-65-3(o)) and must contain the following:
 - a. A right of rescission clause (R.I.G.L. § 5-65-3(p));
 - b. Mechanic's lien notice: requires that all written contracts entered into between a property owner and a contractor must contain a statement that the contractor, subcontractor or material supplier may file a lien pursuant to the Rhode Island Mechanic's Lien Act at Ch. 28 title 34 of the R.I. Gen. Laws (R.I.G.L. §§ 5-65-3 and 5-65-18);
 - c. Written contracts shall include a location on or near the signature line for the parties to initial as evidence that the consumer received consumer education materials or information approved and provided by the board (R.I.G.L. § 5-65-3(o)).

6. The Board may impose fines for violations of any provision of 5-65.3 up to \$5,000 for the first offense and up to \$10,000 for the second and subsequent violations of the chapter.
7. The violation of a final order of the Board is a misdemeanor offense and the violation of three (3) or more final orders of the Board may be prosecuted as a felony. *See* R.I.G.L. § 5-65-19 (a) & (c).
8. Fines and penalties payable to and for the benefit of a governmental unit may constitute a non-dischargeable debt in a bankruptcy proceeding. *See* Bankruptcy Code, 11 U.S.C. § 523(a)(7).
9. Should the board, pursuant to its investigatory powers (*see* R.I.G.L. §5-65-16 (c)), subpoena financial or other documents from an individual or his/her business, any suspicion of nondisclosure or improper record keeping may be reported to the appropriate authority.
10. Rhode Island law requires anyone who digs to notify utility companies before excavating. *See* R.I.G.L. 39-1.2-1 *et seq.* Dig Safe® is a free service that notifies member companies of future digs so that the location of underground facilities can be identified on the job site. Call 1 (888) DIG-SAFE.
11. An underground utility contractor must notify the Board in writing of any change of address while licensed and for one (1) year following the date of an expired or otherwise inactive license. *See* R.I.G.L. § 5-65-6. Any proposed or final order or notice of hearing sent by the Board to the contractor's last known address of record will be considered delivered when deposited in the U.S. mail and/or sent registered, certified, or post office secured.
12. A partnership, corporation, or joint venture may do work, offer to do work, or submit a bid to perform work only if licensed. In the case of license by a corporation or partnership, an individual shall be designated to be responsible for the work performed. The corporation or partnership and its designee shall be jointly and severally liable for any fees and violations. *See* R.I.G.L. § 5-65-3.
13. Every business operating with one or more employees (with few exceptions) must have workers' compensation insurance coverage. *See* R.I.G.L. §§ 5-65.3-9(b) and 28-29-1 *et seq.* Workers' compensation covers 100% of an injured employee's medical expenses and provides for a percentage of his/her lost wages. For more information consult the Internal Revenue Code or contact the U.S. Department of the Treasury Internal Revenue Service. It is your responsibility to properly identify employees. Employers who do not have the required workers' compensation insurance may be sued by an injured employee and are subject to penalties imposed by the Department of Labor Training and the Contractors' Board.

Print name (principal)

_____ / / _____

Sign (principal) Dated COUNTY

Subscribed and sworn to before me on this _____ day of _____, 20__.

_____ *Notary Public* _____

Name: My Commission Expires: _____

******* This form must be notarized to process license*******



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UNDERGROUND UTILITY LICENSE APPLICATION

1.)	A. <input type="checkbox"/> INDIVIDUAL PROPRIETOR LICENSE FEE \$200.00 for 2 YEARS * PLUS A \$10.00PICTURE ID FEE	B. <input type="checkbox"/> PARTNERSHIP LICENSE FEE \$200.00 for 2 YEARS *PLUS A \$10.00PICTURE ID FEE	C. <input type="checkbox"/> CORPORATION OR LLC LICENSE FEE \$200.00 for 2 YEARS * PLUS A \$10.00PICTURE ID FEE
ALL LICENSES EXPIRE ON THE FIRST OF THE MONTH. PRINT/TYPE YOUR NAME AND BUSINESS NAME EXACTLY AS THEY WILL APPEAR ON THE LICENSE.			
Driver's License State _____ No. _____ License No. _____ <div style="text-align: right;">(OFFICE USE ONLY)</div>			
Name: _____ Date of Birth _____ <div style="text-align: center;"><i>(PRINCIPAL RESPONSIBLE)</i></div>			
Address (P.O. BOXES NOT ACCEPTABLE) _____ E-Mail _____			
Home Phone Number _____ Business Phone Number _____ Cell Phone Number _____			
Business Name _____ Web Address _____			
Mailing Address of Business _____ City _____ State _____ Zip Code _____			
FEIN # _____			
2.)	PROOF OF RHODE ISLAND STATE RESIDENCY IS REQUIRED		
No license shall be issued to a nonresident underground utility contractor until he or she has filed with the Board a power of attorney constituting and appointing a registered agent (an attorney whose office is located within the boundaries of the State of R.I. or a registered agent listed with the R.I. Secretary of State Office) upon whom all processes in any action or legal proceeding against him or her may be served, and in the power of attorney agrees that any lawful process against him or her which may be served upon his or her registered agent is of the same force and validity as if served on the nonresident underground utility contractor, and that the force continues irrevocably in force until such time as the Board has been duly notified in writing of any change.			
AGENT OF SERVICE NAME: _____ TELEPHONE NO. _____			
ADDRESS _____ CITY _____ ZIP CODE _____			
3.)	CURRENT DEPARTMENT OF LABOR AND TRAINING HOISTING ENGINEERS LICENSE TO SATISFY R.I.G.L. § 5-65.3.4 -(a)- (1)		
LICENSE NUMBER _____ EXPIRATION DATE _____			
4.)	Pursuant to R.I.G.L. § 5-65.3-7, a license may be issued to an applicant without examination between January 1, 2015 and June 30, 2015 if the applicant has previously been granted a drain layer/ underground utility contractor license by any Rhode Island city/ town within the last 5 years. CURRENT/PREVIOUS LICENSE		
CITY/TOWN _____ NUMBER _____ EXPIRATION DATE _____			
5.)	SUPPLIED TWENTY THOUSAND DOLLAR (\$20,000) BOND PURSUANT TO RIGL §5-65.3-10		
BOND CARRIER _____ BOND NUMBER _____ \$ _____ BOND AMOUNT _____			

6.)

REQUIRED LIABILITY INSURANCE:R.I.G.L. §5-65.3.4-(a)-(2)

YOU ARE REQUIRED TO HAVE IN EFFECT, THROUGHOUT THE PERIOD OF LICENSE, PUBLIC LIABILITY AND PROPERTY DAMAGE INSURANCE IN THE MINIMUM AMOUNT OF \$1,000,000 COMBINED SINGLE LIMIT, BODILY INJURY, AND PROPERTY DAMAGE. FAILURE TO MAINTAIN THIS INSURANCE WILL RESULT IN TERMINATION OF YOUR LICENSE. A CERTIFICATE OF INSURANCE MUST BE SUBMITTED WITH THIS APPLICATION, AND MUST INDICATE THAT THE CONTRACTORS' REGISTRATION AND LICENSING BOARD WILL BE NOTIFIED BY THE INSURANCE CARRIER UPON CANCELLATION OF THE LICENSEE'S INSURANCE POLICY.

NAME OF LIABILITY INSURANCE CARRIER

POLICY NUMBER

INSURANCE AGENCY NAME

TELEPHONE NUMBER

AGENCY ADDRESS

CITY

STATE

ZIP CODE

7.)

EMPLOYEES: DO YOU NOW HAVE, OR DO YOU PLAN TO HIRE, EMPLOYEES? YES NO

I HEREBY VERIFY THAT WORKERS COMPENSATION INSURANCE IS IN EFFECT AND RECORDED WITH THE RHODE ISLAND DEPARTMENT OF LABOR AND TRAINING (REQUIRED FOR ONE (1) OR MORE EMPLOYEES). I HEREBY VERIFY THAT EFFECTIVE THIS DATE AND FOR AS LONG AS THIS LICENSE IS IN EFFECT AND I EMPLOY ANY PERSONS, I HAVE AND WILL CONTINUE TO CARRY THE REQUIRED WORKERS COMPENSATION INSURANCE.

Workers Compensation Carrier _____ Policy # _____

8.)

TESTING:

As of January 1, 2015, if you have not been previously licensed as a drain layer/ underground utility contractor with any city or town in Rhode Island, you are required to take and pass a written examination approved by the Contractors' Registration Board. Additional partners, corporate officers, and LLC members requesting a license must each meet the licensing requirements of R.I.G.L. § 5.65.3-4.

CERTIFICATE OF PASSING EXAM SUPPLIED BY APPLICATANT YES NO

10.)

REQUIRED AFFIDAVIT ATTACHED (SIGNED BY PRINCIPAL AND NOTORIZED) YES NO

11.)

SIGN IN THE PRESENCE OF NOTARY: I HEREBY VERIFY THAT ANY CORPORATION INCLUDED IN THIS APPLICATION HAS BEEN RECORDED WITH THE RHODE ISLAND SECRETARY OF STATE CORPORATION DIVISION AND APPROVED FOR USE BY THE APPLICANT. I HEREBY VERIFY THAT EFFECTIVE THIS DATE AND FOR AS LONG AS THIS CONTRACTORS' REGISTRATION and LICENSING BOARD IS IN EFFECT, I HAVE AND WILL CONTINUE TO CARRY THE REQUIRED LIABILITY INSURANCE. I HEREBY VERIFY THAT TO THE BEST OF MY KNOWLEDGE ALL STATEMENTS ON THIS FORM ARE COMPLETE, TRUE, CORRECT AND ACCURATE. I HEREBY ACKNOWLEDGE THAT MY GENERAL LIABILITY INSURANCE POLICY HAS BEEN OBTAINED TO COVER ALL ASPECTS OF WORK TO BE PERFORMED:

SIGNATURE OF (PRINCIPAL/ RESPONSIBLE) _____ DATE _____

PRINT NAME OF (PRINCIPAL/ RESPONSIBLE) _____

SIGNED OR ATTESTED BEFORE ME ON

STATE OF _____ COUNTY OF _____

MY COMMISSION EXPIRES _____ NOTARY PUBLIC _____

12.)

SEND THIS APPLICATION AND THE APPROPRIATE FEES TO:

THE DEPARTMENT OF ADMINISTRATION
CONTRACTORS' REGISTRATION AND LICENSING BOARD,
ONE CAPITOL HILL, PROVIDENCE, RI 02908.

LICENSE WILL BE PROCESSED AT THAT TIME.

MAKE CHECKS OR MONEY ORDERS PAYABLE TO R.I.C.R.L.B.

ALL FEES, AFFIDAVIT, INSURANCE, BOND, CERTIFICATE OF PASSING EXAM (IF REQUIRED) and CORPORATE PAPERS MUST ACCOMPANY THIS APPLICATION.

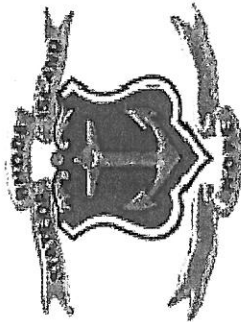
LICENSE NUMBER

EXPIRATION DATE

UNDERGROUND UTILITY CONTRACTOR LICENSE

Issued this date: ____/____/____

Print Name



Licensee Signature

Date

Executive Director R.I.C.R.L.B Signature

Date

This is a temporary license. Licensee will be required to obtain a photo license card when notified by this Board.